

New York State Department of Taxation and Finance

IDA Appointment of Project Operator or Agent For Sales Tax Purposes

The industrial development agency or authority (I appointed directly by the IDA or indirectly by the			he appointment	t of a projec	ct operator or a	gent, whether	For IDA	use only	
Name of IDA				IDA project number (use OSC numbering system for projects after 1998)					
New York City Industrial Developme	ent Agency		, , , , , , , , , , , , , , , , , , , ,		•		The second section of the second seco		
Street address				Telephone number					
110 William Street				(212) 619-5000					
City	State	ZIP code							
New York		NY				10038			
Name of IDA project operator or agent	Ch	eck box if directly		Emplo	yer identifica	tion <u>or social sec</u>	curity number		
Salvatore Agosta	арр	pointed by the IDA:	X						
Street address				Telephone number Primary operator or agent?					
125 Broad Street				(212	(212) 668-8000 X Yes No				
City		State				ZIP code			
New York		NY				10004			
Name of project			Purpose of project (see instructions)						
Fahnestock & Co., Inc. Project					onstruction				
Street address of project site				00.00					
See attached									
City		State				ZIP code			
New York		NY				211 0000			
Description of goods and services intende	d to be evennte	0.000	0400						
Renovate and improve leased space v 16th Floors); 110 Wall Street; 780 Th 200 Park Avenue (24th & 25th Floors	vithin 125 Bro nird Avenue; 8	ad Street, Purchase a 05 Third Avenue; 28	and install ta 30 Park Ave	enue (19	& 20th Flo	ors); 90 Broad	Street (9th Fl	oor),	
Date project operator m		yyyy Date	project oper	rator or		mm	dd	уууу	
or agent appointed 08	01		nt státus ends			09	30	2013	
Estimated value of goods and services to	be exempted fro	m sales and use taxes	as a result o	f the proje	ect's designa	tion as an IDA p	roject:		
\$750,000 (NPV): \$500,000 (NPV) in	Retention Sal	es Tax Benefit and u	p to \$250,0	000 (NPV	/) in Growt	h Credit Sales	Tax Benefit		
Print name of officer or employee signing on behalf of the IDA			Print title						
Howard Spieler			Compliance Officer						
Signature Date			Telephone number						
MOUME	-	08/26/2013		(:	212) 312	-4254			
1000	e e	Instruc	tions						
Filing requirements)	monuc	Privacy no	tification					
An IDA must file this form within 30 days of the date the IDA designates a project operator or appoints a person as agent of the IDA, for purposes of extending a sales and compensating use tax exemption.				The Commissioner of Taxation and Finance may collect and maintain personal information pursuant to the New York State Tax Law, including but not limited to, sections 171, 171-a, 287, 308, 429, 475, 505, 697, 1096, 1142, and 1415 of that Law; and may require disclosure of social security numbers pursuant to 42 USC 405(c)2(C)(D). This information will be used to determine and administer tax liabilities and, when authorized by law, for certain tax offset and exchange of tax information programs as well as for any other lawful purpose. Information concerning quarterly wages paid to employees is provided to certain state agencies for purposes of fraud prevention, support enforcement, evaluation of the effectiveness of certain employment and training programs and other					
The IDA must file a separate form for each project operator or agent				prevailability support enforcement, evaluation of me electriciness of certain employment and unlimity programs and ones purposes authorized by law. Failure to provide the required information may subject you to civil or criminal penalties, or both, under the Tax Law. This information is maintained by the Director of Records Management and Data Entry, NYS Tax Department, W A Harriman Campus, Albany NY 12227; telephone 1 800 225-5829. From areas outside the United States and outside Canada, call (518) 455-6800.					
appoint other agents, the operator or agent making such an appointment				Need help?					
must advise the IDA that it has done so, so that the IDA can life a form				Telephone assistance is available from 8 a.m. to 5:55 p.m. (eastern					
within 30 days of the new agent's appointment. The IDA need not file this form for people hired to work on an IDA project who are not appointed as				time), Monday through Friday.					
agents of the IDA. The IDA need not file this form if there are no sales or				Business tax information: 1 800 972-1233					
use tax exemption benefits authorized for a project as a result of the project's designation as an IDA project.				Forms and publications: 1 800 462-8100 From areas outside the U.S. and outside Canada: (518) 485-6800 Fax-on-demand forms: 1 800 748-3676					
Purpose of project						ecommunications			
For <i>Purpose of project</i> , enter one of the following:				deaf (TDD) callers only): 1 800 634-2110 (8 a.m. to 5:55 p.m., eastern time).					
- Services - Construction						atata nu			
- Agriculture, forestry, fishing					ss: www.tax.		202000000000000000000000000000000000000		
 Finance, insurance, real estate 	 Retail tra 	lue	2 Pers	ons with	disabilities.	In compliance w	ith the American	ns with	

Mailing instructions

- Transportation, communication,

electric, gas, sanitary services

Mail completed form to: NYS Tax Department, IDA Unit, Building 8 Room 738, W A Harriman Campus, Albany NY 12227

- Manufacturing

- Other (specify)

Persons with disabilities: In compliance with the Americans with Disabilities Act, we will ensure that our lobbies, offices, meeting rooms, and other facilities are accessible to persons with disabilities. If you have questions about special accommodations for persons with disabilities,

If you need to write, address your letter to: NYS Tax Department, Taxpayer Contact Center, W A Harriman Campus, Albany NY 12227.