

**NYC NEIGHBORHOOD CAPITAL CORPORATION  
ANNUAL INVESTMENT REPORT  
YEAR ENDED 6/30/23**

**Comprehensive Investment Guidelines Policy**

Attached hereto as Schedule I is the current investment policies, procedures and guidelines (the “Investment Guidelines”) of NYC Neighborhood Capital Corporation (“NYCNCC”), In the fiscal year ended June 30, 2023 the Board did not approve any changes to the Investment Guidelines previously adopted.

**Investment Objectives**

By way of summary, the investment objectives set forth in the Investment Guidelines Policy are as follows: preservation of capital; maintenance of liquidity and maximization of return.

**Annual Independent Audit**

The results of the annual independent audit (including the independent accountant’s audit report) for the fiscal year ended June 30, 2023, are attached hereto as Schedule II.

**Investment Income Record**

Investment income from interest earned on bank accounts was \$127,024 and from investing activities was \$3,516 for the fiscal year ended June 30, 2023.

**Fees, Commissions and Other Charges**

The Corporation did not pay any fees, commissions or other charges to an investment banker, broker, agent, dealer or advisor rendering investment associated services during the fiscal year ended June 30, 2023